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Chromatic Ramsey Theory

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Let \mathcal{G} be a countable graph which has infinite chromatic number. If γ is a coloring of $[G]^2$ with two colors, is there then a subset $H \subseteq G$ such that γ is constant on $[H]^2$ and $\mathcal{G}|_H$, the graph induced by \mathcal{G} on H , has infinite chromatic number? As edges and non-edges can be colored with different colors this will be the case iff \mathcal{G} contains an infinite clique. It turns out that if the clique size of \mathcal{G} is unbounded but \mathcal{G} does not contain an infinite clique then for every coloring of $[G]^2$ with τ colors, there are some two of the τ colors such that there is an infinite chromatic subgraph of \mathcal{G} the vertex set of which forms only pairs colored in those two colors; and this is best possible, because one can always distinguish between edges and non-edges. In the case in which the graphs do not contain the complete graph on n vertices the situation is much more complicated. We will show that for every $3 \leq n \in \omega$ there is a graph \mathcal{G} , which does not embed the complete graph on n vertices, with the property that for every positive number τ there exists a coloring of $[G]^2$ with τ colors such that the vertex set of every infinite chromatic subgraph of \mathcal{G} forms pairs in each of the τ colors. On the other hand there is a graph \mathcal{G} , which does not embed the complete graph on n vertices, and which has the property that for every positive number τ and every coloring of $[G]^2$ with τ colors there is an infinite chromatic subgraph of \mathcal{G} the pairs of which use at most 3 colors. We will generalize to the case of colorings of k -element subsets.

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1. INTRODUCTION

We consider a graph \mathcal{G} to be a set of two-element sets. Hence our graphs do not contain multiple edges or loops. We will usually denote the set of vertices $\bigcup \mathcal{G}$ of \mathcal{G} by G . If H is a subset of G , then the *induced subgraph* $\mathcal{G}|_H$ of \mathcal{G} is the graph $\mathfrak{G} = \mathcal{G} \cap [H]^2$; where, as usual, $[H]^\tau$ denotes the set of τ -element subsets of H . The family of graphs which do not contain a complete graph on n vertices will be denoted by \mathcal{N}_n . For cardinals κ, ρ, σ and ν we write

$$\mathcal{G} \leftrightarrow_{\sigma} (\kappa)_{\rho}^{\nu}$$

to mean that for every coloring $\gamma: [G]^\nu \rightarrow \rho$ there exists a subset $S \subseteq \rho$ with $|S| \leq \sigma$, a subset $H \subseteq G$ such that $\gamma([H]^\nu) \subseteq S$ and the chromatic number of the graph $\mathcal{G}|_H$ is at least κ . The negation of $\mathcal{G} \leftrightarrow_{\sigma} (\kappa)_{\rho}^{\nu}$ is $\mathcal{G} \not\leftrightarrow_{\sigma} (\kappa)_{\rho}^{\nu}$. In this paper we are interested in the relation $\mathcal{G} \leftrightarrow_s (\mathcal{N}_0)_{\tau}^k$ for finite cardinals τ, s and k . For $c \geq d$ two positive numbers, we obtain

$$\mathcal{G} \leftrightarrow_s (\mathcal{N}_0)_{\tau}^k \quad \text{implies} \quad \mathcal{G} \leftrightarrow_{s+c} (\mathcal{N}_0)_{\tau+d}^k \quad (1)$$

and

$$\mathcal{G} \leftrightarrow_s (\mathcal{N}_0)_{\tau+d}^k \quad \text{implies} \quad \mathcal{G} \leftrightarrow_s (\mathcal{N}_0)_{\tau}^k \quad (2)$$

To see (1), just combine $d+1$ colors of a coloring with $\tau+d$ colors into one color to obtain a coloring with τ colors. Equation (2) follows from the observation that every coloring with τ colors can be interpreted to be a coloring with $\tau+d$ colors. If \mathcal{G} contains an infinite complete subgraph \mathfrak{G} and γ is a coloring of $[G]^k$ with τ colors, then apply the ordinary Ramsey Theorem [9] to the restriction of γ to $[H]^k$, to deduce that H contains an infinite subset S such that γ is the constant function on $[S]^k$. Hence we obtain the easy Theorem 1,

THEOREM 1. *If \mathfrak{G} contains an infinite clique and $1 \leq k$, $\tau \in \omega$, then $\mathfrak{G} \leftrightarrow_1 (\mathcal{N}_0)_r^k$.*

We will denote by $p(k) = 2^{k-1}$ the number of ordered partitions of the number k . That is, $p(k)$ is equal to the number of sequences of positive integers of the form $(\tau_1, \tau_2, \dots, \tau_w)$ such that $\tau_1 + \tau_2 + \dots + \tau_w = k$. We will prove the following.

THEOREM 2. *If, for every $n \in \omega$, $\mathfrak{G} \notin \mathcal{N}_n$, then, for every $\tau \in \omega$, $\mathfrak{G} \leftrightarrow_{p(k)} (\mathcal{N}_0)_\tau^k$.*

THEOREM 3. *If, for every $n \in \omega$, $\mathfrak{G} \notin \mathcal{N}_n$ but \mathfrak{G} does not contain an infinite complete subgraph, then $\mathfrak{G} \not\leftrightarrow_{p(k)-1} (\mathcal{N}_0)_{p(k)}^k$.*

Note that the above three theorems together with equations (1) and (2) provide a complete answer for graphs with unbounded clique sizes. The situation seems to be much more complicated in the case of the families \mathcal{N}_n of graphs, as the following two theorems indicate.

THEOREM 4. *There exists a triangle-free graph \mathfrak{G} such that, for every $1 \leq \tau \in \omega$, $\mathfrak{G} \leftrightarrow_3 (\mathcal{N}_0)_\tau^2$.*

THEOREM 5. *There exists a triangle-free graph \mathfrak{G} such that, for every $2 \leq \tau \in \omega$, $\mathfrak{G} \not\leftrightarrow_{\tau-1} (\mathcal{N}_0)_\tau^2$.*

Let \mathfrak{U}_n be the homogeneous graph which embeds every finite member of \mathcal{N}_n . It is well known [5] that every countable graph in \mathcal{N}_n can be embedded into \mathfrak{U}_n . Hence it follows from Theorem 4 that for $3 \leq n$ and $1 \leq \tau \in \omega$, $\mathfrak{U}_n \leftrightarrow_3 (\mathcal{N}_0)_\tau^2$. This is best possible, since we can show the following.

THEOREM 6. *For $3 \leq n \in \omega$ and $3 \leq \tau \in \omega$, $\mathfrak{U}_n \not\leftrightarrow_2 (\mathcal{N}_0)_\tau^2$.*

The reader will note that in the case in which clique sizes are bounded, we do not do as well as the partition number, since $p(2) = 2 < 3$.

Let $(C_i; i \in \omega)$ be a sequence of pairwise disjoint finite sets such that, for all $i \in \omega$, $(|C_i| < |C_{i+1}|)$. A *stepgraph* \mathfrak{G} with partition C_0, C_1, C_2, \dots is a graph such that $C = \bigcup_{i \in \omega} C_i$, there are no edges between different C_i 's and different C_i 's are pairwise disjoint. The stepgraph is said to be *connected* if each C_i is a connected component of \mathfrak{G} . The stepgraph \mathfrak{G} is *complete* if each of the C_i induces a clique of \mathfrak{G} . For $i \in \omega$ we will put $P_i(\mathfrak{G}) = C_i$. A refinement of the stepgraph \mathfrak{G} is a subgraph of \mathfrak{G} which is itself a stepgraph. For a finite subset K of C , the number $i \in \omega$ is *significant* if $K \cap C_i \neq \emptyset$. Let $i_1 < i_2 < \dots < i_w$ be the significant numbers for K . Then the ordered partition $(|K \cap C_{i_1}|, |K \cap C_{i_2}|, \dots, |K \cap C_{i_w}|)$ of $|K|$ is the *partition type* of K , and the partition of K induced by \mathfrak{G} is $P_1(K) = K \cap C_{i_1}, P_2(K) = K \cap C_{i_2}, \dots, P_w(K) = K \cap C_{i_w}$. If $\tau = (s_1, s_2, \dots, s_w)$ is an ordered partition of the number $k \geq 1$, we will denote by $\mathcal{T}_\tau(\mathfrak{G})$ the set of all subsets of C the partition type of which is τ . If $\gamma: \mathcal{T}_\tau(\mathfrak{G}) \rightarrow \tau$ is a coloring of the elements of $\mathcal{T}_\tau(\mathfrak{G})$ with τ colors, then we will say the following.

The coloring γ is *uniform* if any two subsets K and L in $\mathcal{T}_\tau(\mathfrak{G})$ with $P_i(K) = P_i(L)$ for all $1 \leq i \leq w-1$ have the same color.

The coloring γ is *uniform up to n* , for $n \in \omega$, if any two subsets K and L in $\mathcal{T}_\tau(\mathfrak{G})$ for which $P_w(K) \cup P_w(L) \subseteq \bigcup_{i \geq n} C_i$ and which have the property that $P_i(K) = P_i(L) \subseteq \bigcup_{i < n} C_i$ for all $1 \leq i \leq w-1$, have the same color.

For $\tau, k, t \geq 1$, we will denote by $R(\tau, k, t)$ the ordinary Ramsey number. That is, $R(\tau, k, t)$ is the smallest number such that for every set S with $|S| \geq R(\tau, k, t)$ and

every coloring $\gamma: [S]^k \rightarrow \tau$, there is a subset $T \subseteq S$ with $|T| \geq t$ such that γ is constant on $[T]^k$ (see [9]).

2. THE RESULTS FOR GRAPHS WITH UNBOUNDED CLIQUE SIZES

LEMMA 1. *Let \mathfrak{C} be a stepgraph with partition (C_0, C_1, C_2, \dots) , $\tau = (\tau_1, \tau_2, \dots, \tau_w)$ an ordered partition of the number k and $\gamma: \mathcal{T}_\tau(\mathfrak{C}) \rightarrow \tau$ a coloring of the k -element subsets of C , which have partition type τ with $\tau \geq 1$ colors. Then there is, for every $n \in \omega$, a refinement \mathfrak{D} of \mathfrak{C} with $P_i(\mathfrak{D}) = C_i$ for all $i < n$ and such that the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform up to n . Furthermore, if $w = 1$ and $n = 0$, then the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is the constant function.*

PROOF. For $w \geq 2$, let the ordered partition σ of $k - \tau_w$ be given by $\sigma = (\tau_1, \tau_2, \dots, \tau_{w-1})$ and the set S by

$$S = \mathcal{T}_\sigma(\mathfrak{C}) \cap \left[\bigcup_{i < n} C_i \right]^{k - \tau_w}.$$

We define the number c to be

$$c = \begin{cases} \tau, & \text{if } w = 1; \\ |\tau^S|, & \text{otherwise.} \end{cases}$$

Note that S is the set of all $(k - \tau_w)$ -element subsets of $\bigcup_{i < n} C_i$ which have partition type σ . Hence $|\tau^S|$ is the number of colorings with τ colors of the set of all $(k - \tau_w)$ -element subsets of $\bigcup_{i < n} C_i$, which have partition type σ . Let $j \geq n$ and $T \subseteq C_j$, with $|T| = \tau_w$. Note that if $S \in S$ then $S \cup T \in \mathcal{T}_\tau(\mathfrak{C})$. We can therefore define a coloring γ_T of S , by putting $\gamma_T(S) = \gamma(S \cup T)$ for $S \in S$. Then $\gamma_T \in [S]^\tau$. The association of T with γ_T is a coloring Γ of $\bigcup_{i \geq n} [C_i]^{\tau_w}$ with $||r^S|| = c$ colors.

Choose a strictly increasing sequence of numbers $(s_i; i \in \omega)$ such that, for $i < n$, $s_i = |C_i|$. There exists then a sequence t_i such that, for $i < n$, $t_i = i$ and, for all $i \geq n$, $|C_{t_i}| \geq R(c, w, s_i)$. For $i \geq n$, using the definition of the number $R(c, w, s_i)$, let B_i be a subset, $B_i \subseteq C_{t_i}$, such that Γ is constant on $[B_i]^w$. Put $B_i = C_i$ for $i < n$ and $\mathfrak{B} = \mathfrak{C}|_{\bigcup_{i \in \omega} B_i}$. Because the coloring Γ is finite, there is a refinement \mathfrak{D} of \mathfrak{B} such that Γ is constant on $\bigcup_{i \geq n} [P_i(\mathfrak{D})]^{\tau_w}$. Clearly, \mathfrak{D} is the desired refinement of \mathfrak{C} for which the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform up to n . \square

LEMMA 2. *Let \mathfrak{C} be a stepgraph, τ an ordered partition of the number k and $\gamma: \mathcal{T}_\tau(\mathfrak{C}) \rightarrow \tau$ a coloring of the k -element subsets of C which have partition type τ with $\tau \geq 1$ colors. Then there is a refinement \mathfrak{D} of \mathfrak{C} such that the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform.*

PROOF. Let $\mathfrak{C}_0 = \mathfrak{C}$ and, for $0 < n \in \omega$, let \mathfrak{C}_n be a refinement of \mathfrak{C}_{n-1} such that the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{C}_n)$ is uniform up to n , and such that, for all $i < n$, $P_i(\mathfrak{C}_n) = P_i(\mathfrak{C}_{n-1})$. Note that, for all $n, m \in \omega$ and $i \leq n$, $P_i(\mathfrak{C}_n) = P_i(\mathfrak{C}_{n+m})$. Therefore,

$$D = \bigcap_{i \in \omega} C_i \neq \emptyset,$$

and $\mathfrak{D} = \mathfrak{C}|_D$ is a stepgraph, and hence a refinement of \mathfrak{C} . Also, of course, the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform. \square

LEMMA 3. *Let \mathfrak{C} be a stepgraph, $\tau = (\tau_1, \tau_2, \dots, \tau_w)$ an ordered partition of the*

number k and $\gamma: \mathcal{T}_\tau(\mathfrak{G}) \rightarrow \tau$ a coloring of the k -element subsets of C , which have partition type τ , with $\tau \geq 1$ colors. Then there is a refinement \mathfrak{D} of \mathfrak{G} such that the restriction of γ from $\mathcal{T}_\tau(\mathfrak{G})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is the constant function.

PROOF. We proceed by induction on w . For $w = 1$ we use Lemma 1 with $n = 0$. It follows from Lemma 2 that we may assume that the coloring γ is uniform on $\mathcal{T}_\tau(\mathfrak{G})$. Let σ be the ordered partition of $k - \tau_w$ given by $\sigma = (\tau_1, \tau_2, \dots, \tau_{w-1})$. Note, then, that if $S \in \mathcal{T}_\sigma(\mathfrak{G})$ and R and T are any two subsets of C such that $S \cup R \in \mathcal{T}_\tau(\mathfrak{G})$ and $S \cup T \in \mathcal{T}_\tau(\mathfrak{G})$, then $\gamma(S \cup R) = \gamma(S \cup T)$. Hence we can associate with any such $S \in \mathcal{T}_\sigma(\mathfrak{G})$ the color $\gamma^*(S) = \gamma(S \cup T)$ for any T such that $S \cup T \in \mathcal{T}_\tau(\mathfrak{G})$. Using induction, let \mathfrak{D} be a refinement of \mathfrak{G} such that γ^* is constant. Clearly, then, the restriction of γ from $\mathcal{T}_\tau(\mathfrak{G})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is constant. \square

LEMMA 4. If \mathfrak{G} is a complete stepgraph and $0 < k \in \omega$, then, for all $1 \leq \tau \in \omega$,

$$\mathfrak{G} \leftrightarrow_{p(k)} (\aleph_0)_\tau^k.$$

PROOF. The proof follows easily from Lemma 3. \square

LEMMA 5. Every graph $\mathfrak{G} = (G, E)$ in which the clique sizes are unbounded but which does not contain an infinite complete subgraph contains a complete stepgraph as an induced subgraph.

PROOF. There exists a sequence of pairwise disjoint subsets $(C_i; i \in \omega)$ such that the sequence $(|C_i|; i \in \omega)$ is strictly increasing, and for each $i \in \omega$ the graph $\mathfrak{G}|_{C_i}$ is complete. This follows from the fact that every finite such sequence can be extended.

Let \mathfrak{G} be the stepgraph such that $P_i(\mathfrak{G}) = C_i$. Let τ be the ordered partition $(1, 1)$ of 2 and γ the coloring of $\mathcal{T}_\tau(\mathfrak{G})$ given by

$$\gamma(K) = \begin{cases} 0, & \text{if } K \text{ is not an edge of } \mathfrak{G}; \\ 1, & \text{otherwise.} \end{cases}$$

According to Lemma 3, there is a refinement \mathfrak{D} of \mathfrak{G} such that γ is constant on $\mathcal{T}_\tau(\mathfrak{D})$. If γ would be the function constant to 1, then \mathfrak{G} would contain an infinite complete subgraph. Hence γ is constantly 0, which implies that \mathfrak{D} is an induced subgraph of \mathfrak{G} . \square

THEOREM 2. If, for every $n \in \omega$, $\mathfrak{G} \notin \mathcal{N}_n$, then, for every $\tau \in \omega$,

$$\mathfrak{G} \leftrightarrow_{p(k)} (\aleph_0)_\tau^k.$$

PROOF. If \mathfrak{G} contains an infinite complete subgraph, the theorem follows from Theorem 1. If \mathfrak{G} does not contain an infinite complete subgraph, then we deduce Theorem 2 from Lemmas 5 and 4. \square

LEMMA 6. For every $1 \leq n \in \omega$, a graph in \mathcal{N}_n with infinite chromatic number contains an infinite induced path or it contains an induced stepgraph with infinite chromatic number.

PROOF. The lemma is obviously true for $n \leq 2$. We proceed by induction on n . Let $\mathfrak{G} \in \mathcal{N}_{n+1}$ be a K_{n+1} -free graph. If \mathfrak{G} has infinite chromatic number then either \mathfrak{G} contains a connected stepgraph with infinite chromatic number as induced subgraph or

one of the connected components of \mathfrak{G} has infinite chromatic number. Hence we may assume that \mathfrak{G} is connected. We will either find an infinite path or a connected stepgraph with infinite chromatic number as induced subgraph of \mathfrak{G} , or we will be able to construct a sequence $((x_l, \mathfrak{G}_l; l \in \omega))$ such that, for each $l \in \omega$:

- (i) \mathfrak{G} restricted to $\{x_0, x_1, \dots, x_l\}$ is an induced path of \mathfrak{G} ;
- (ii) x_l is adjacent to x_{l+1} ;
- (iii) $\mathfrak{G}_l \cup \{x_l\}$ is a connected infinite chromatic subgraph of \mathfrak{G} ; and
- (iv) for all $i < l$, x_i is not adjacent to any vertex in \mathfrak{G}_l .

Let x_0 be some vertex of \mathfrak{G} and put $\mathfrak{G}_0 = \mathfrak{G}$. Assume that $l \in \omega$ and, for all $i < l$, (x_i, \mathfrak{G}_i) has already been chosen. Let N be the set of those vertices of \mathfrak{G}_l which are adjacent to x_l . The graph $\mathfrak{G}_l \upharpoonright N$ is in \mathcal{N}_n , for otherwise x_l would be contained in a K_{n+1} . Using induction, $\mathfrak{G}_l \upharpoonright N$ either contains an infinite path or a connected stepgraph with infinite chromatic number or has finite chromatic number. If it has finite chromatic number, the graph $\mathfrak{G}_l - N$ has infinite chromatic number. If $\mathfrak{G}_l - N$ does not contain a connected stepgraph with infinite chromatic number, then one of the connected components of $\mathfrak{G}_l - N$ must have infinite chromatic number. Let \mathfrak{H} be a connected component of $\mathfrak{G}_l - N$, which has infinite chromatic number. Because \mathfrak{G} is connected, one of the vertices in N , say x_{l+1} , must be adjacent to some vertex in \mathfrak{H} . Let \mathfrak{G}_{l+1} be the subgraph of \mathfrak{G}_l induced by the vertices of \mathfrak{H} . It is now easy to check that the sequence $((x_i, \mathfrak{G}_i); i \leq l+1)$ satisfies the four conditions listed above. \square

THEOREM 3. *If for every $n \in \omega$, $\mathfrak{G} \notin \mathcal{N}_n$ but \mathfrak{G} does not contain an infinite complete subgraph, then $\mathfrak{G} \not\hookrightarrow_{p(k)-1} (\aleph_0)_{p(k)}^k$.*

PROOF. Fix a bijection α from G to ω . If \mathfrak{A} and \mathfrak{B} are two induced subgraphs of \mathfrak{G} , we write $\mathfrak{A} < \mathfrak{B}$ if for every vertex $a \in A$ and vertex $b \in B$, $\alpha(a) < \alpha(b)$. Let S be a k -element subset of G . The set S is a *partitioned* subset of G if the connected components of $\mathfrak{G}|_S$ are totally ordered by $<$. If $P_1(S) < P_2(S) < \dots < P_w(S)$ are the connected components of the partitioned subset S of G , then we associate with S the ordered partition $\tau = (|P_1(S)|, |P_2(S)|, \dots, |P_w(S)|)$. If S is not a partitioned subset of G we associate arbitrarily some ordered partition of k with S . This provides a coloring γ of all k -element subsets of G with the ordered partitions of k .

Next we prove that if \mathfrak{H} is an induced subgraph of \mathfrak{G} which has infinite chromatic number, then the restriction of γ to $[H]^k$ is a surjection onto all ordered partitions of k . (This of course will imply the theorem.)

Assume that \mathfrak{H} contains a connected stepgraph \mathfrak{C} as an induced subgraph. Let $\tau = (\tau_1, \tau_2, \dots, \tau_w)$ be an ordered partition of k . Choose an index i_1 such that $|P_{i_1}(\mathfrak{C})| > \tau_1$ and a subset $S_1 \subseteq P_{i_1}(\mathfrak{C})$ such that $|S_1| = \tau_1$ and $\mathfrak{C}|_{S_1}$ is connected. Assume that S_1, S_2, \dots, S_v for $v < w$ have already been chosen, so that, for all $1 \leq i \leq v$, $|S_i| = \tau_i$, $\mathfrak{C}|_{S_i}$ is connected and, for all $1 \leq i \leq v-1$, $S_i < S_{i+1}$. Because there are only finitely many numbers which are not larger than all of the numbers $\alpha(x)$ with $x \in \bigcup_{1 \leq i \leq v} S_i$, there is an index j such that

$$\bigcup_{1 \leq i \leq v} S_i < \bigcup_{j \leq i \leq \omega} P_i(\mathfrak{C}) \quad \text{and} \quad |P_j(\mathfrak{C})| > \tau_{v+1}.$$

We choose $S_{v+1} \subseteq P_j(\mathfrak{C})$ such that $|S_{v+1}| = \tau_{v+1}$ and $\mathfrak{C}|_{S_{v+1}}$ is connected. This means that the sequence (S_1, S_2, \dots, S_v) can be extended, and hence there exists, for every ordered partition τ of k , a k -element subset $K \subseteq C$ with $\gamma(K) = \tau$. We conclude that if \mathfrak{H} contains a stepgraph, then γ restricted to $[H]^k$ is onto, and hence we are done.

It now follows from Lemma 5 that we may assume that the clique sizes of \mathfrak{H} are bounded and from Lemma 6 that we may assume that \mathfrak{H} contains an infinite induced

path \mathfrak{M} . Let $\tau = (\tau_1, \tau_2, \dots, \tau_w)$ be an ordered partition of k . We wish to construct a partitioned subset K of W such that $\gamma(K) = \tau$ by successively constructing $P_1(K) < P_2(K)$ and so on. Choose for $P_1(K)$ any connected induced subgraph of \mathfrak{M} containing τ_1 vertices. Assume that, for some $v < w$ and all $i \leq v$, the sets $P_i(K)$ have already been found. The function α takes on a maximal finite value m on the sets $P_i(K)$ and hence there are only finitely many vertices x in \mathfrak{M} such that $\alpha(x) \leq m$. That implies that we can find a subset $P_{v+1}(K)$ having the required properties. \square

3. THE RESULTS FOR GRAPHS WITH BOUNDED CLIQUE SIZES

Here we have to use a result from finite Ramsey theory. First, we present some notation. The set of all induced subgraphs of a graph \mathfrak{G} which are isomorphic to the graph \mathfrak{R} is denoted by $\binom{\mathfrak{G}}{\mathfrak{R}}$.

$$\mathfrak{G} \succrightarrow (\mathfrak{H})_{\tau}^{\mathfrak{R}}$$

means that, for every coloring $\gamma: \binom{\mathfrak{G}}{\mathfrak{R}} \rightarrow \tau$, there is an induced subgraph \mathfrak{H}' isomorphic to \mathfrak{H} of \mathfrak{G} such that γ is constant on $\binom{\mathfrak{H}'}{\mathfrak{R}}$. An ordered graph (\mathfrak{G}, \leq) is a graph together with a total order on G . Isomorphisms and embeddings of ordered graphs must also respect the order. The set of all induced subgraphs of an ordered graph (\mathfrak{G}, \leq) which are isomorphic to the ordered graph (\mathfrak{R}, \leq) is denoted by $\binom{(\mathfrak{G}, \leq)}{(\mathfrak{R}, \leq)}$. The expression

$$(\mathfrak{G}, \leq) \succrightarrow (\mathfrak{H}, \leq)_{\tau}^{(\mathfrak{R}, \leq)}$$

means that, for every coloring $\gamma: \binom{(\mathfrak{G}, \leq)}{(\mathfrak{R}, \leq)} \rightarrow \tau$, there is an induced subgraph (\mathfrak{H}', \leq) isomorphic to (\mathfrak{H}, \leq) of (\mathfrak{G}, \leq) such that γ is constant on $\binom{(\mathfrak{H}', \leq)}{(\mathfrak{R}, \leq)}$. The following theorem is due to Nešetřil and Rödl [7, 8] and independently, Abramson and Harrington (1).

THEOREM. *Given $\tau \in \omega$ and finite ordered graphs (\mathfrak{H}, \leq) and (\mathfrak{R}, \leq) such that $\mathfrak{H}, \mathfrak{R} \in \mathcal{N}_n$, there exists a finite ordered graph (\mathfrak{G}, \leq) , with $\mathfrak{G} \in \mathcal{N}_n$ such that*

$$(\mathfrak{G}, \leq) \succrightarrow (\mathfrak{H}, \leq)_{\tau}^{(\mathfrak{R}, \leq)}.$$

Note that this theorem implies the following, as has been observed by the above authors.

STATEMENT *. *If the group of automorphisms of \mathfrak{R} is the full symmetric group on K , that is if \mathfrak{R} is either complete or does not contain any edges and*

$$(\mathfrak{G}, \leq) \succrightarrow (\mathfrak{H}, \leq)_{\tau}^{(\mathfrak{R}, \leq)},$$

then

$$\mathfrak{G} \succrightarrow (\mathfrak{H})_{\tau}^{\mathfrak{R}}. \quad (3)$$

In particular, (3) holds if \mathfrak{R} is a single edge or consists of two non adjacent vertices. If $\mathfrak{H} \in \mathcal{N}_n$ we can also require that $\mathfrak{G} \in \mathcal{N}_n$.

The symbol

$$\mathfrak{G} \succrightarrow (\mathfrak{H})_{\kappa, v}^2$$

means that, for every $\gamma: \mathfrak{G} \rightarrow \kappa$ and for every $\delta: ([G]^2 - \mathfrak{G}) \rightarrow v$, there is an induced subgraph \mathfrak{H}' of \mathfrak{G} isomorphic to \mathfrak{H} such that γ is constant on \mathfrak{H}' and v is constant on \mathfrak{H}' (the edge set of the complement of \mathfrak{H}'). We need the following result:

$$\forall \mathfrak{H} \leq n < \omega \quad \forall \tau < \omega \quad \forall \mathfrak{H}(|\mathfrak{H}| < \omega \wedge \mathfrak{H} \in \mathcal{N}_n) \Rightarrow \exists \mathfrak{G}(\mathfrak{G} \in \mathcal{N}_n \wedge |\mathfrak{G}| < \omega \wedge \mathfrak{G} \succrightarrow (\mathfrak{H})_{\tau, \tau}^2). \quad (4)$$

It is easy to see that (4) follows from statement * by ‘Ramseying twice’: that is, by first finding a graph $\mathfrak{L} \in \mathcal{N}_n$ such that $\mathfrak{L} \succ (\mathfrak{L})_{\tau}^{\mathfrak{N}}$, where \mathfrak{N} is the graph on two vertices without an edge, and then by finding a graph $\mathfrak{G} \in \mathcal{N}_n$ such that $\mathfrak{G} \succ (\mathfrak{L})_{\tau}^{\mathfrak{N}}$, where \mathfrak{N} is the graph on two adjacent vertices. Clearly, $\mathfrak{G} \in \mathcal{N}_n$ and $\mathfrak{G} \succ (\mathfrak{L})_{\tau}^{\mathfrak{N}}$. Another interesting way of proving (4) has recently been found by Shelah. In [6], Komjáth and Shelah prove a consistency result which was then generalized by Shelah at the Banff conference on ‘Finite and Infinite Combinatorics in Sets and Logic’, in April 1991 to: the following is consistent for every $\lambda \geq \aleph$;

$$\forall \kappa \forall \nu \forall \mathfrak{G} \in \mathcal{N}_{\lambda} \exists \mathfrak{G} \succ (\mathfrak{G})_{\kappa, \nu}^2. \quad (5)$$

Using compactness and absoluteness, one obtains (4) from (5) (Shelah).

LEMMA 7. *If \mathfrak{G} is a finite graph $\mathfrak{G} \leftrightarrow_s (sk)_{rl+(\frac{l}{2})}^2$ and γ is a coloring of G with l colors, then there exists an $i < l$ such that $\mathfrak{G}|_{\gamma^{-1}(i)} \leftrightarrow_s (k)_{\tau}^2$*

PROOF. Otherwise,

$$\forall i < l, \quad \mathfrak{G}|_{\gamma^{-1}(i)} \not\leftrightarrow_s (k)_{\tau}^2. \quad (6)$$

For $i < l$, fix a coloring δ_i witnessing (6). We may assume that different color sets are used for distinct values of i . We define a coloring δ of $[G]^2$ as follows. If, for $i < \tau$, $e \in \gamma^{-1}(i)$, then $\delta(s) = \delta_i(e)$. For $i \neq j$, $x \in \gamma^{-1}(i)$ and $y \in \gamma^{-1}(j)$, $\delta(\{x, y\}) = \{i, j\}$. If $T \subseteq G$ and $|\delta([T]^2)| \leq s$, then there are at most s different numbers i such that $T \cap \gamma_i^{-1} \neq \emptyset$. But then it follows from (6) that the chromatic number of $\mathfrak{G}|_T$ is less than sk . Hence δ is a witness to

$$\mathfrak{G} \not\leftrightarrow_s (sk)_{rl+(\frac{l}{2})}^2$$

and we have arrived at a contradiction. \square

We will use Lemma 7 in the following form.

COROLLARY 1. *For every three integers $2 \leq s, k, l \in \omega$ there is a number $N = N(s, k, l)$ such that whenever \mathfrak{G} is a graph with $\mathfrak{G} \leftrightarrow_s (N)_{\tau}^2$ and γ is a function from G into l , then, for some $i \in l$,*

$$\mathfrak{G}|_{\gamma^{-1}(i)} \leftrightarrow_s (k)_{\tau}^2.$$

Let $(C_i; i \in \omega)$ be a sequence of pairwise disjoint finite sets. An s -chromatic stepgraph $\mathfrak{G} = (C, E)$ with partition C_0, C_1, C_2, \dots is a graph such that $C = \bigcup_{i \in \omega} C_i$ and, for all $i \in \omega$ $(\mathfrak{G}|_{C_i} \leftrightarrow_s (i)_i^2)$. We define the *partition type* and other notions as for ordinary stepgraphs. A *refinement* of an s -chromatic stepgraph \mathfrak{G} is an induced subgraph of \mathfrak{G} which is also an s -chromatic stepgraph. We obtain the following immediately from Corollary 1.

LEMMA 8. *If γ is a coloring of the vertices of an s -chromatic stepgraph \mathfrak{G} with finitely many colors, then there is a refinement \mathfrak{D} of \mathfrak{G} such that γ is constant on the vertices of \mathfrak{D} .*

LEMMA 9. *Let $\mathfrak{G} = (C, E)$ be an s -chromatic stepgraph with partition (C_0, C_1, C_2, \dots) , τ the ordered partition $(1, 1)$ of 2 and $\gamma: \mathcal{T}_{\tau}(\mathfrak{G}) \rightarrow \tau$ a coloring of the 2-element subsets of C which have partition type τ with $\tau \geq 1$ colors. Then, for every*

$n \in \omega$, there is a refinement \mathfrak{D} of \mathfrak{C} with $P_i(\mathfrak{D}) = C_i$ for $i < n$ and such that the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform up to n .

PROOF. We define the number c to be

$$c = |\tau^{\bigcup_{i < n} C_i}|.$$

Note that $|\tau^{\bigcup_{i < n} C_i}|$ is the number of colorings of the set of all elements of $S = \bigcup_{i < n} C_i$, with τ colors. Let $j \geq n$ and $x \in C_j$. Note that if $y \in \{x, y\}$. Then $\gamma_x \in \tau^s$. Now consider the association of x with γ_x to be coloring Γ of $\bigcup_{i \geq n} C_i$ with c colors.

There exists a sequence t_i such that

$$\forall i < n, t_i = i \wedge \forall i \geq n, \quad \mathfrak{C}|_{C_{t_i}} \leftrightarrow_s (N(s, i, c))_{N(s, i, c)}^2.$$

By the definition of the number $N(s, i, c)$, for each $i \geq n$, there is a subset $B_i \subseteq C_{t_i}$ such that Γ is constant on $[B_i]^k$. Put $B_i = C_i$ for $i < n$ and $\mathfrak{B} = \mathfrak{C}|_{\bigcup_{i \in \omega} B_i}$. Because the coloring Γ is finite, there is a refinement \mathfrak{D} of \mathfrak{B} such that Γ is constant on $\bigcup_{i \geq n} P_i(\mathfrak{D})$. Clearly, \mathfrak{D} is the desired refinement of \mathfrak{C} for which the restriction of γ from $\mathcal{T}_\tau(\mathfrak{C})$ to $\mathcal{T}_\tau(\mathfrak{D})$ is uniform up to n . \square

LEMMA 10. *If \mathfrak{C} is an s -chromatic stepgraph and $\gamma: \mathcal{T}_{(1,1)}(\mathfrak{C}) \rightarrow \tau$ is a coloring of the pairs that connect different parts of \mathfrak{C} with τ colors, then there is a refinement \mathfrak{D} of \mathfrak{C} such that γ is constant on $\mathcal{T}_{(1,1)}(\mathfrak{D})$.*

PROOF. Using Lemma 9 repeatedly, we can construct as in the proof of Lemma 2 a refinement \mathfrak{B} of \mathfrak{C} such that γ is n -uniform on \mathfrak{B} for every $n \in \omega$, which of course means that γ is uniform on \mathfrak{B} . We obtain that there exists a function $\rho: B \rightarrow \tau$ such that

$$\forall i \in \omega \forall x \in P_i \forall i < j \in \omega \forall y \in P_j(\mathfrak{B}), \quad \gamma(\{x, y\}) = \rho(x).$$

According to Lemma 9, there is a restriction \mathfrak{D} of \mathfrak{B} on which the function ρ is constant. Clearly, then, γ is constant on $\mathcal{T}_{(1,1)}(\mathfrak{D})$. \square

LEMMA 11. *If \mathfrak{C} is an s -chromatic stepgraph, then, for all $2 \leq \tau \in \omega$, $\mathfrak{C} \leftrightarrow_{s+1} (\aleph_0)_\tau^2$*

PROOF. If $\gamma: [C]^2 \rightarrow \tau$ is a coloring of the two-element subsets of C , there exists, according to Lemma 10, a refinement \mathfrak{G} of \mathfrak{C} such that γ is constant on $\mathcal{T}_{(1,1)}(\mathfrak{G})$. Because $\mathfrak{C}|_{C_i} \leftrightarrow_s (i)_i^2$, there is an infinite chromatic subgraph \mathfrak{E} of \mathfrak{G} such that $|\gamma([S]^2)| \leq s+1$. Hence, for every $1 \leq \tau \in \omega$, $\mathfrak{G} \leftrightarrow_{s+1} (\aleph_0)_\tau^2$. \square

THEOREM 4. *There exists a triangle-free graph \mathfrak{G} such that, for each $1 \leq \tau \in \omega$, $\mathfrak{G} \leftrightarrow_{\aleph} (\aleph_0)_\tau^2$*

PROOF. Let $(\mathfrak{G}_i; i \in \omega)$ be a family of finite graphs such that the chromatic number of \mathfrak{G}_i is larger than i . We use (4) to obtain a family $(\mathfrak{C}_i; i \in \omega)$ of finite graphs such that $\mathfrak{C}_i \twoheadrightarrow (\mathfrak{G}_i)_{i,i}^2$. Note that, for each $i \in \omega$, $\mathfrak{C}_i \leftrightarrow_2 (i)_i^2$ and hence it is obvious that the graph \mathfrak{C} with $P_i(\mathfrak{C}) = C_i$ is a 2-chromatic stepgraph. It now follows from Lemma 11 that, for every $1 \leq \tau \in \omega$, $\mathfrak{G} \leftrightarrow_{\aleph} (\aleph_0)_\tau^2$. \square

THEOREM 5. *There exists a triangle-free graph \mathfrak{G} such that, for every $2 \leq \tau \in \omega$, $\mathfrak{G} \not\leftrightarrow_{\tau-1} (\aleph_0)_\tau^2$*

PROOF. Let \mathfrak{G} be a stepgraph such that, for every $i \in \omega$, the part \mathfrak{G}_i has chromatic number at least i and girth at least i . Such graphs exist, by [8]. Denote by $d(x, y)$ the

distance between the vertices x and y in \mathfrak{G} . Color the elements of $[G]^2$ with τ colors according to distance modulo τ ; that is,

$$\forall x \neq y \wedge x, y \in G, \quad \gamma(\{x, y\}) = d(x, y) \text{ modulo } \tau.$$

Every infinite chromatic subgraph of \mathfrak{G} must contain subgraphs of large chromatic numbers of infinitely many of the graphs \mathfrak{G}_i , and hence pairs in all of the τ colors. \square

THEOREM 6. For $\aleph \leq n \in \omega$ and $\aleph \leq \tau \in \omega$, $\mathfrak{U}_n \not\hookrightarrow_2 (\aleph_0)_\tau^2$

PROOF. Let \mathfrak{U}_n be the homogeneous universal K_n -free graph and suppose that $<$ well orders $|U_n|$ in order type ω . We fix a coloring γ of $[U_n]^2$ as follows: edges are colored black; if $\{a, b\} \notin \mathfrak{U}_n$ and $a < b$, then $\{a, b\}$ is colored red just in case there is an $x < a$ with $\{x, b\} \in \mathfrak{U}_n$; otherwise $\{a, b\}$ is colored blue.

We prove that any infinite chromatic subgraph \mathfrak{S} of \mathfrak{G} must use all three colors. Note that this argument also proves that the complement of \mathfrak{U}_m is not weakly edge indivisible.

Specifically, we prove by induction on $m \leq n$ that if $\mathfrak{S} \subseteq \mathfrak{U}_n$ is homogeneous in two colors and K_m -free, then $\chi(\mathfrak{S})$ is finite. It is clear that unless one of the colors used is black, the chromatic number of a homogeneous subgraph is one, as the graph is edge-free. Let \mathfrak{S} be K_m -free and homogeneous in two colors. If $m < 2$, \mathfrak{S} is edge-free, and colorable with one color. We are led to two cases.

Case 1: \mathfrak{S} is homogeneous with black edges and red non-edges. Let h_0 be the least element of \mathfrak{S} . Partition \mathfrak{S} into finitely many classes: $X_{-1} = \{h_0\}$ and, for $0 \leq i \leq h_0$, $X_i = \{h \in \mathfrak{S} \text{ such that } i = \min \{x \in \mathfrak{U}_n : \{x, h\} \in \mathfrak{U}_n\}\}$. This is a partition of \mathfrak{S} , since either $h_0 = h$, $h_0 < h \in \{h_0, h\} \in \mathfrak{U}_n$ or $h_0 < h$ and $\gamma(\{h_0, h\}) = \text{red}$, so $\exists i < h_0 (\{i, h\} \in \mathfrak{G})$. Now each X_i induces a subgraph of \mathfrak{U}_n which is K_{n-1} -free, and homogeneous in black and red. By the induction hypothesis, $\chi(X_i) = \eta_i < \infty$, so $\chi(\mathfrak{S}) \leq \sum_{i=-1}^{h_0} \eta_i < \infty$, as required.

Case 2: \mathfrak{S} is homogeneous in black and blue. We argue that, in fact, $\chi(\mathfrak{S}) < m$. Otherwise there is a finite $\mathfrak{R} \subseteq \mathfrak{S}$ which induces a subgraph of chromatic number of at least m . It must be the case that some member of \mathfrak{R} , h_0 say, has at least $m-1$ neighbors $h \in \mathfrak{R}$ with $h_0 < h$; otherwise, it has a coloring number of at most $m-1$. So let $h_0, h_1, \dots, h_{m-1} \in \mathfrak{S}$ with $\{h_0, h_i\} \in \mathfrak{U}_n$ for $0 < i \leq m-1$ and with $h_0 < h_1 < \dots < h_{m-1}$. Observe that, for $0 < i < j \leq m-1$, we must have $\{h_i, h_j\} \in \mathfrak{U}_n$; for otherwise the fact that $\{h_0, h_j\} \in \mathfrak{U}_n$ would entail that the non-edge $\{h_i, h_j\}$ is colored red. But then $\{h_0, h_1, \dots, h_{m-1}\}$ induces a complete graph K_m in \mathfrak{S} , contrary to assumption.

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